





ATTORNEY DOCKET NO.: UNMC 63102US/13292

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Sam D. Sanderson, et al.

**Group Art Unit:** 

1644

Serial No. 09/051,685 RECEIVED

Filed: August 19, 1998

**Examiner:** 

F. VanderVegt MAR 1 5 2001

TECH CENTER 1600/2900

For: Compositions and Methods for **Enhancing Immune Responses Mediated:** 

by Antigen-Presenting Cells

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date indicated below.

DATE:

Commissioner for Patents Washington DC 20231

Sir:

# AMENDMENT TRANSMITTAL LETTER AND REQUEST FOR EXTENSION OF TIME

Transmitted herewith is an amendment in the above-identified application.

- (X)Small entity status of this application under 37 CFR 1.9 and 37 CFR 1.27 has been established by a verified statement previously submitted.
- A verified statement claiming small entity status under 37 CFR 1.9 and 37 CFR 1.27 is enclosed.

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The feet or additional claims presented in this amendment has been calculated as follows:

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a special spec	Claims Remaining After Amendment	Highest Number Previously Paid for	No. Extra	Rate	Fee	OR	Rate	Fee
Total Claims	14 -	24 = (at least 20)	0	x\$9=	\$	<u>OR</u>	x\$18 =	\$
Indep. Claims	2 -	3 = (at least 3)	0	x\$39=	\$	<u>OR</u>	x\$78 =	\$
First Presentation Multiple Dependent Claims				\$130=	\$	OR	\$260 =	\$
Total fee for added claims:					\$		رونية المسترات المستر	\$

(X) Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Official Action of February 8, 2001 to and through March 8, 2001, comprising an extension of the shortened period of 1 month(s):

	SMALL E	NTITY	OTHER THAN SMALL ENTITY	
One Month	X	\$ 55	\$110	
Two Months		\$195	\$390	
Three Months		\$445	\$695	
Four Months		\$695	\$1,390	
Additional fee f	\$55.00			

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

# NAR Total feed required

MAR 1 5 2001

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(8 1	RADE	Please charge	my Deposit Accour	nt No. 50-1089 in the amount of $\frac{\$}{}$ . This weet
		is attached in	duplicate.	3910
(	)	An extension	for	has already been secured and the fee paid therefore of
		\$ i	s deducted from the	total fee due for the total months of extension now
		requested.		
		-	•	Extension fee due with this request

- ( X ) A check in the amount of  $\frac{55.00}{100}$  is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-1089.
- (XX) The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1089. This sheet is attached in duplicate.
  - (XX) Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
  - (XX) Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- (XX) The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1089. This sheet is attached in duplicate.
  - (XX) Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - ( ) The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

(XX) Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: March 8,2001

Signature

Janet E. Reed, Ph.D., Registration No. 36,252

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MAR 1 5 2001

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of	) Examiner: F. VanderVegt
Sam D. Sanderson et al.	) Group Art Unit: 1644
Application No. 09/051,685	mar 24,07
Filed: August 19, 1998	) Response to Paper No. 13
For: Compositions and Methods for Enhancing Immune Responses Mediated by Antigen- Presenting Cells	,

# AMENDMENT AND REQUEST FOR RECONSIDERATION UNDER 37 C.F.R. §1.111

In response to the Official Action dated November 8, 2000, please amend the above-identified patent application as follows:

# In the specification:

Page 9, Time 11: change "(C3d) receptor" to --(C3d receptor)--.

Page 9, lines 11-12: change "(FcγRI) receptor" to --(FcγRI receptor)--.

Page 9, line 12: change "(Fc∈RII) receptor" to --(Fc∈RII receptor)--.

## In the claims:

1. (Twice amended) A molecular adjuvant for enhancing an immune response to an immunogen comprising:

a targeting ligand having binding affinity for a receptor present on [characteristic